



Docket No.: 1071.1051

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Nobuo SASAKI

Serial No. 10/734,251

Group Art Unit: 2879

Confirmation No. 8952

Filed: December 15, 2003

Examiner: Unassigned

For: METHOD OF MANUFACTURING ORGANIC EL DEVICE, AND ORGANIC EL DEVICE

**SUBMISSION OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The application was filed without an English language translation of the International Preliminary Examination Report (IPER). To assist the Examiner in examination of the application, and in particular consideration of the references cited in the IPER, an English language translation of the IPER is enclosed herewith. It should be noted that the IPER has no amended sheets annexed thereto.

If there are any questions regarding the enclosed document, such questions can be addressed by telephone to the undersigned.

Respectfully submitted,

STAAS & HALSEY LLP

Date: June 24 2004

By: Mark J. Henry  
Mark J. Henry  
Registration No. 36,162

1201 New York Ave, N.W., Suite 700  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501

From the INTERNATIONAL BUREAU

**PCT****NOTIFICATION OF TRANSMITTAL  
OF COPIES OF TRANSLATION  
OF THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 72.2)**

To:

OKAMOTO, Keizo  
OKAMOTO PATENT OFFICE  
Yamanishi Bldg. 4F, 11-7, Nihonbashi Ningyo-cho 3-chome  
Chuo-ku, Tokyo 103-0013  
JAPON

Date of mailing ( <i>day/month/year</i> ) 27 May 2004 (27.05.2004)	
Applicant's or agent's file reference 01-40537	<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/JP2001/007472	International filing date ( <i>day/month/year</i> ) 30 August 2001 (30.08.2001)
Applicant FUJITSU LIMITED et al	

**1. Transmittal of the translation to the applicant.**

The International Bureau transmits herewith a copy of the English translation made by the International Bureau of the international preliminary examination report established by the International Preliminary Examining Authority.

**2. Transmittal of the copy of the translation to the elected Offices.**

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following elected Offices requiring such translation:

CN, EP, KR

The following elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

JP, US

**3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).**

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report.

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Masashi Honda
Facsimile No.+41 22 740 14 35	Facsimile No.+41 22 338 70 10

Translation

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 01-40537	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP01/07472	International filing date (day/month/year) 30 August 2001 (30.08.01)	Priority date (day/month/year)
International Patent Classification (IPC) or national classification and IPC H05B 33/10, 33/14, 33/12		
Applicant FUJITSU LIMITED		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 4 sheets, including this cover sheet.

☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of \_\_\_\_\_ sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 18 April 2002 (18.04.02)	Date of completion of this report 05 August 2002 (05.08.2002)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP01/07472

## I. Basis of the report

### 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed
- ☐ the description:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the claims:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, as amended (together with any statement under Article 19  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the drawings:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
 pages \_\_\_\_\_, as originally filed  
 pages \_\_\_\_\_, filed with the demand  
 pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

### 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

### 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

### 4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

### 5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP 01/07472

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Claims	2-11, 13-15, 18	YES
	Claims	1, 12, 16, 17	NO
Inventive step (IS)	Claims	2-11	YES
	Claims	1, 12-18	NO
Industrial applicability (IA)	Claims	1-18	YES
	Claims		NO

### 2. Citations and explanations

Document 1: JP 2000-323276 A (Seiko Epson Corp.), 24 November 2000

Document 2: JP 2001-148291 A (Sony Corp.), 29 May 2001

Document 3: JP 60-57370 A (Mitsubishi Electric Corp.), 03 April 1985

Document 4: JP 2000-235891 A (Toray Industries, Inc.), 29 August 2000

Document 5: JP 5-114487 A (Fuji Electric Co., Ltd.), 07 May 1993

Document 1 cited in the international search report discloses features wherein partitioning walls are formed and an ink composition comprising a hole injection material and a luminescent material is filled between the partitioning walls, therefore the invention set forth in claims 1 and 17 lacks novelty.

Document 2 cited in the international search report discloses a feature wherein an insulating layer, an anode and a partitioning wall are provided upon a substrate, therefore the invention set forth in claims 12 and 16 lacks novelty.

The invention set forth in claims 13-15 does not involve an inventive step in the light of document 2 and documents 3 and 4 cited in the international search

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP 01/07472

report. It would be easy for a person skilled in the art to apply the pixels disclosed in documents 3 and 4, which are formed by combining light emission regions that emit different colors of light and have different thicknesses, to the EL element disclosed in document 2.

The invention set forth in claim 18 does not involve an inventive step in the light of document 1 and document 5 cited in the international search report. It would be easy for a person skilled in the art to use the ink composition disclosed in document 5, which comprises a polymeric material that is dissolved in an organic solvent, as the ink composition disclosed in document 1.

The invention set forth in claims 2-11 is not disclosed in any of the documents cited in the international search report, and is not obvious to a person skilled in the art.